Progress toward an Engineering Discipline of Software

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What does it mean to have an engineering discipline for software?

How far has software engineering progressed toward that goal?

What are the next steps?

with examples from civil engineering and software architecture

What is "engineering"?

Definitions abound

They have in common: Creating cost-effective solutions to practical problems by applying scientific knowledge building things in the service of mankind

Engineering enables ordinary people to do things that formerly required virtuosos

What is "engineering"?

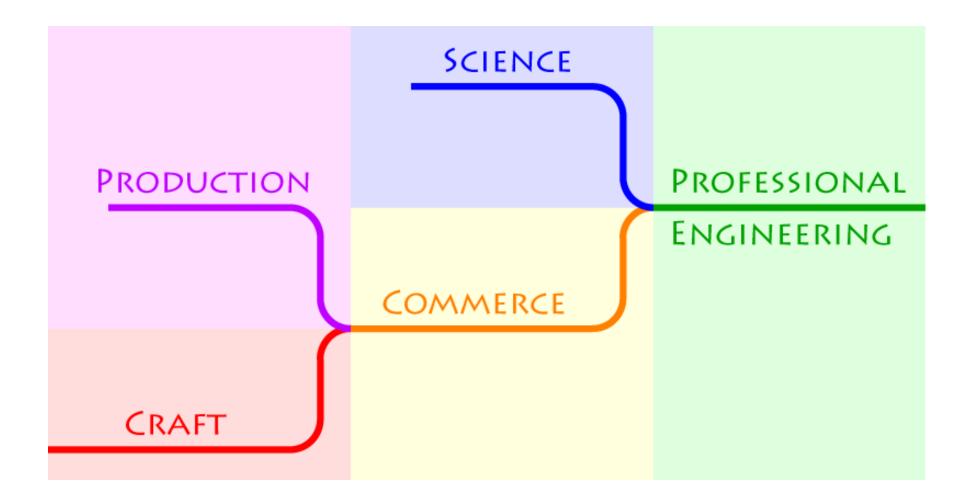
Definitions abound

They have in common: Creating cost-effective solutions to practical problems by applying codified knowledge building things in the service of mankind

> Engineering enables ordinary people to do things that formerly required virtuosos

Characteristics of engineering

- limited time, knowledge, and resources force decisions on tradeoffs
- best-codified knowledge, preferentially science, shapes design decisions
- reference materials make knowledge and experience available
- analysis of design predicts properties of implementation



Engineering evolves from craft and commerce; it requires scientific foundations, or at least systematically codified knowledge.

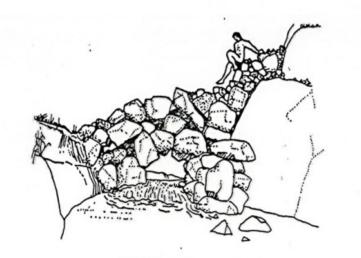
Exploiting technology requires both management and a body of systematic, scientific knowledge.

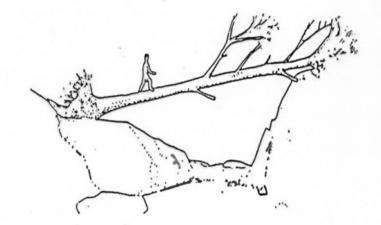
Science often arises from progressive codification of practice.

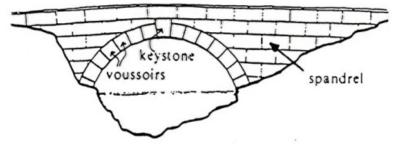
Civil Engineering as Model

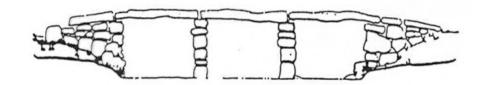
Civil Engineering

Example: Bridges and Arches









Great Buildings of the World Bridges, Derrick Beckett, Hamlyn Publishing Group, Ltd., London, England, pp 10,12,16,19

1st Century CE

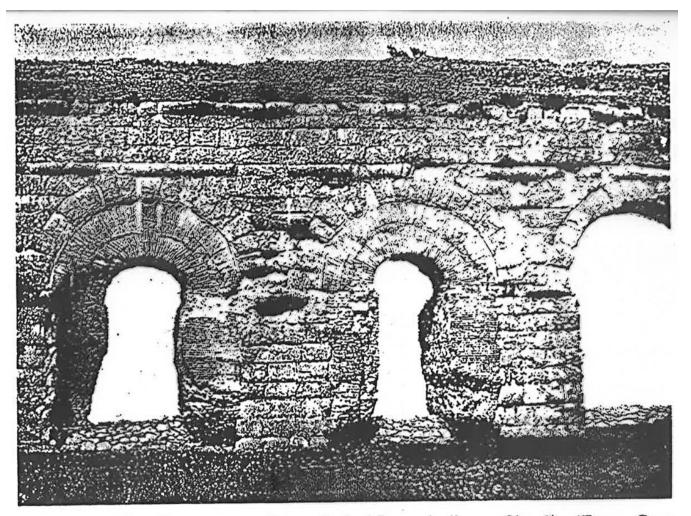


Figure 4.4 Two Roman aqueducts, Anio Novus built on Claudia (From Curt Merckel, Die Ingenieurtechnik im Alterthum, 1899; courtesy Julius Springer-Verlag)

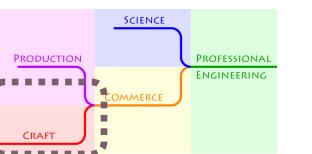
Craft of bridges

Romans



Renaissance & Industrial Revolution

Scientific Engineering

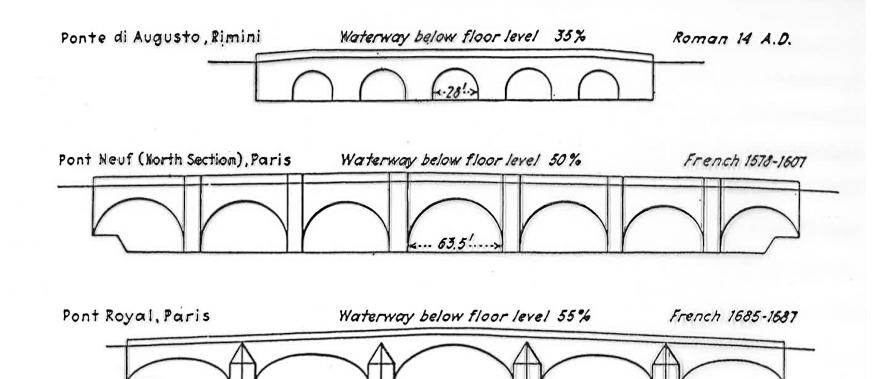


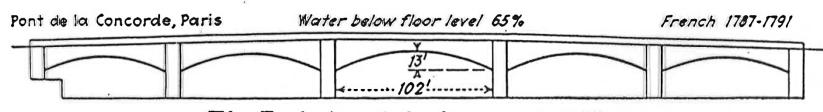
Empirical progress via failure and repair

No deliberate application of mathematics to determine size or shape

Little theory, but construction methods lasted until 19th century

Vitruvius: *De Architectura* [about 25 BC]





The Evolution of the Stone-arch Bridge

ring and Western Civilization, James Kip Finch, Will Book Company, Inc., New York, NY, 1951, p33

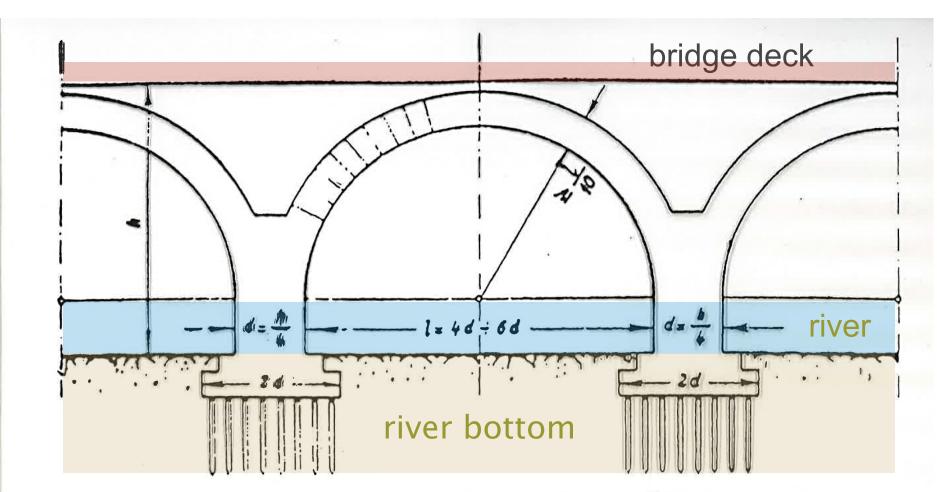
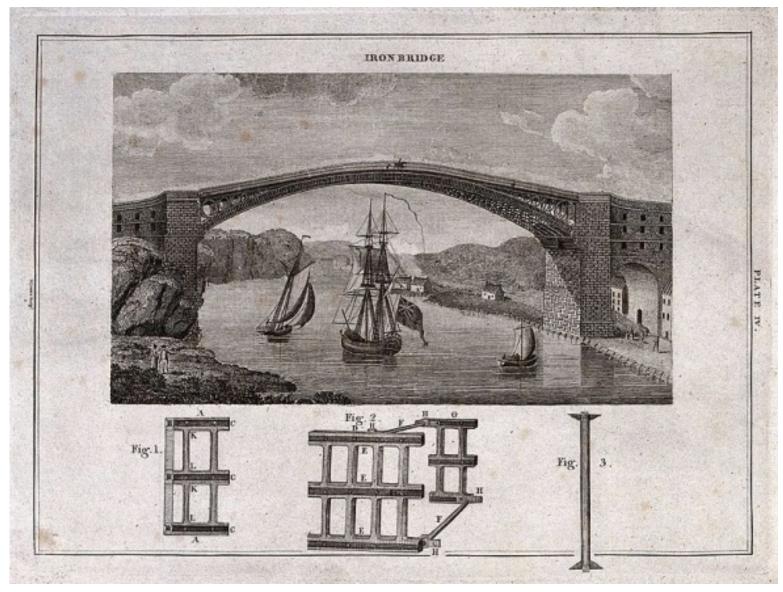


Fig. 28. Arch bridge, according to Leom Battista Alberti.

tory of Civil Engineering, Hams Straub, .I.T. Press, Cambridge, MA, 1964, p90 15th century

Ironbridge at Coalbrookdale, 1779



Wellcome Images, a website operated by Wellcome Trust, a global charitable foundation based in the United Kingdom.



Dee Bridge disaster, 1847

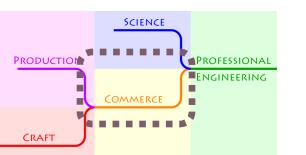


Business of bridges

Romans

Renaissance & Industrial Revolution

Scientific Engineering



Increasingly long spans, lighter structures

Rules of thumb about proportions

Explanation of structures:

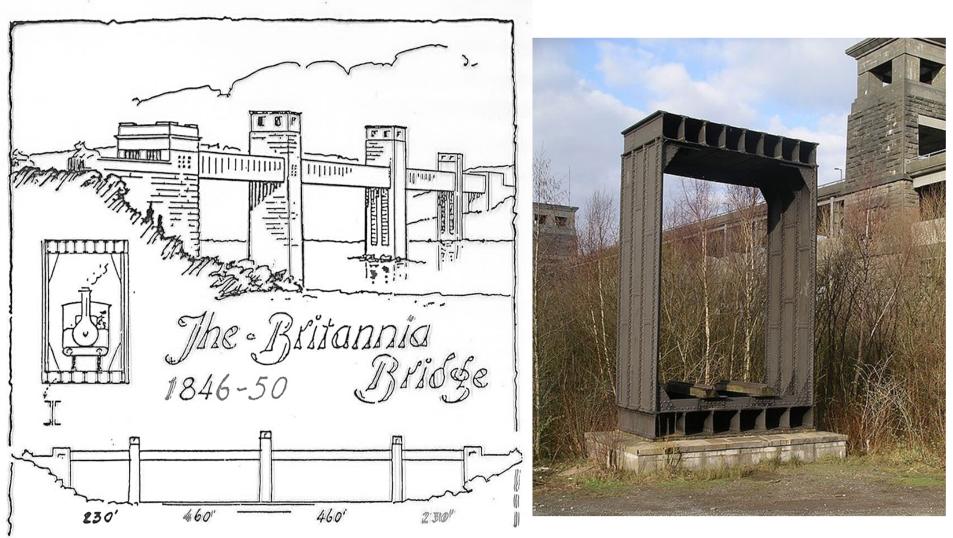
- Brunelleschi on arches and domes 15th century
- Galileo on beams 17th century

Introduction of cast iron, wrought iron, steel, and reinforced concrete

Fundamental Problems					
Composition of forces	Bending				
Theories that solved these problems					
Statics	Strength of materials				
Varignon & Newton late 17th century	Coulomb & Navier early 18th century				

Hardest problem was identifying the proper basic concepts, e.g. force.

New mathematics was needed (calculus).

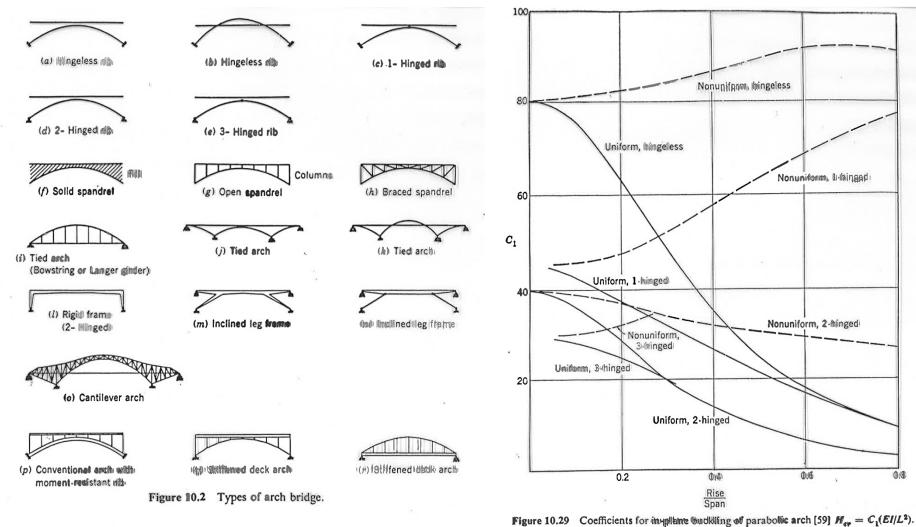


Wikimedia: Velela

Story of Engineering, James Kip Fincleday & Co., Inc., Garden City, NY,

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PROPERTIES & VARIOUS SECTIONS						
Sections	Area of Section A	Distance from Axis to Extremities of Section y and y ₁	Moment of Inertia J	Section Modulus $S = \frac{1}{y}$		
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	bd ah	$y = b - y_1$ $y_1 = \frac{2b^2m + bc^2}{2A}$	$\frac{1}{3}$ (2 mb ³ + <i>br</i> ³) - <i>Ay</i> ₁ ²			
	bd - 2 sh	$y = \frac{d}{2}$	1 12 (6d ³ - 2 ah ³)	<u>bd⁰ - 2 ah³</u> 6 d		
	6d - 2 ah	$y = \frac{b}{2}$	1 12 (2 mb ³ + ht ³)	2 mb ² + hr ³ 6 b		
	bm + hr	$y = d - y_1$ $y_1 = \frac{d^2t + m^2(b - t)}{3A}$	$\frac{1}{3} \left(ry^{\frac{1}{2}} + by_{1}^{3} - 3 \sigma (y_{1} - m)^{3} \right)$	· <u>I</u> y		
	ben + he	$y = \frac{b}{2}$	1 (mb ² + hd ⁰)			



ign of Bridge Superstructures, Collim O*Connor, y-Interscience, New York, NY, 1971, p. 489

ign of Bridge Superstructures, Collin O*Connor, y-Interscience, New York, NY, 1971,

Engineering of bridges

Romans

1700: good theories (statics, strength of materials)

Renaissance & Industrial Revolution 1750: tabulations of properties of materials

1850: formal analysis of a bridge

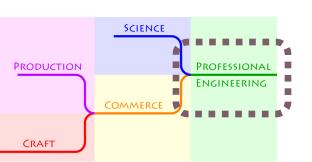
structure

1900: structural analysis worked out

1950: systematic theory

2000: design automaton

Scientific Engineering



21st century

PennDOT now requires use of its software for automated design of simple bridges

- PennDOT's Bridge Automated Design and Drafting Software (BRADD) automates bridge design from problem definition through CAD drawing.
- BRADD designs concrete, steel, and concrete bridges with spans of 18 feet to 200 feet.
- o http://bradd.engrprograms.com/home/

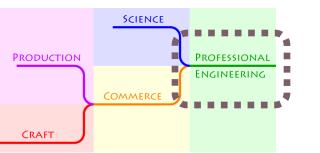
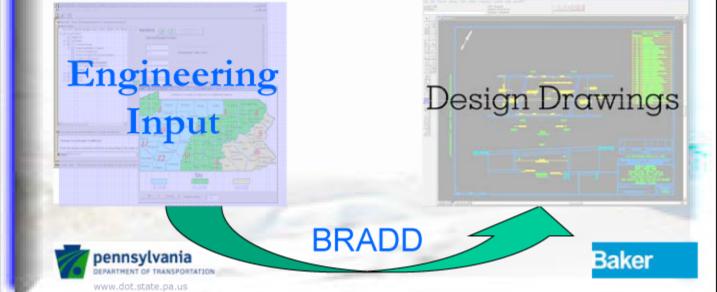


Table 2.3-2 Matrix of Abutment Types versus Superstructure Types

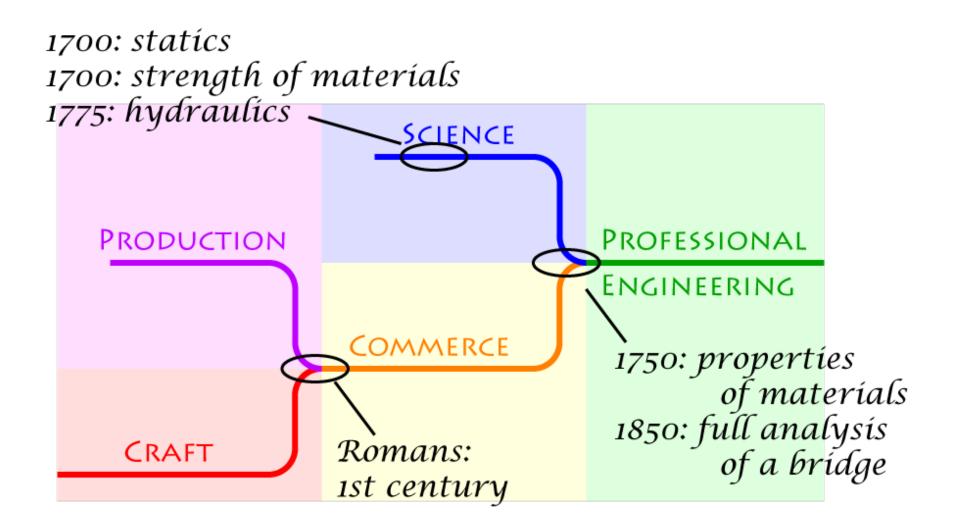
	Abutment Type					
Superstructure Type	Traditional		Integral	SuperOnly	SuperOnly	
	High	Wall	Stub	Integral	High/Stub/Wall	Integral
Prestressed Concrete Adjacent Box Beam		×				
Prestressed Concrete Spread Box Beam	⊠	×	×	⊠	⊠	⊠
Prestressed Concrete I-Beam	⊠	×	×	⊠	⊠	⊠
Steel Rolled Beam	⊠		⊠	⊠	⊠	
Steel Plate Girder	⊠		⊠	⊠		⊠

Automates production of scaled bridge contract drawings





Evolution of civil engineering



Software Engineering

Software engineering as engineering

From the definition of engineering:

Creating cost-effective solutions to practical problems by applying codified knowledge building things in the service of mankind

Software engineering as engineering

From the definition of engineering:

The branch of computer science that creates cost-effective solutions to practical computing problems by applying codified knowledge developing software systems in the service of mankind

Software is design-intensive -- manufacturing costs are minor

Software is symbolic, abstract, and constrained more by intellectual complexity than by fundamental physical laws

"Software Engineering"

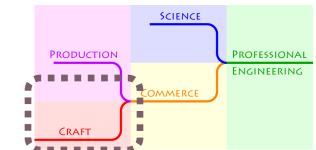
Rallying Cry

Phrase introduced 1968 to draw attention to "the software crisis"

Aspiration, not description

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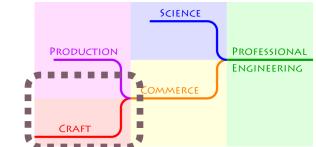
By some reports, "software engineering" was coined by Margaret Hamilton a few years earlier; the 1968 and 1969 NATO conferences brought the phrase into widespread use



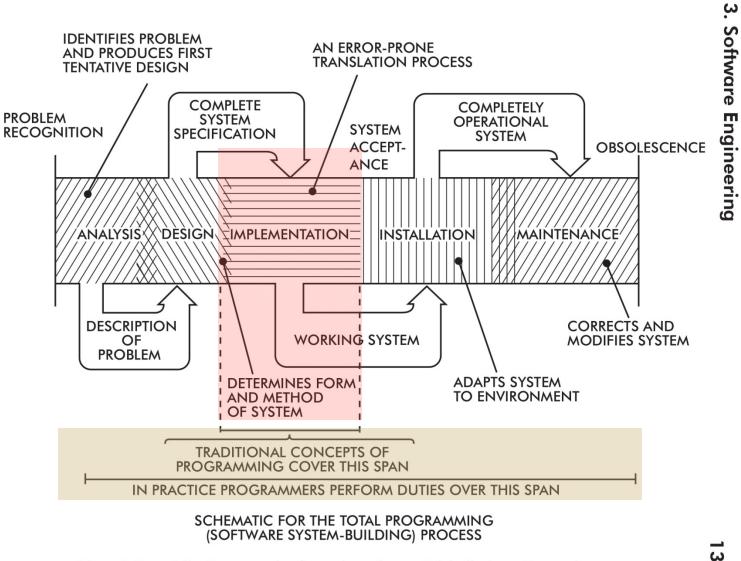
Craft practice, 1968

- Monolithic development, merging research, development, production
- Software fine in many areas, but not for life-critical applications
- Widening gap between ambitions and achievement, increasing risk
- Software is late, over cost estimate, doesn't meet specifications
- Too much revolution, not enough evolution









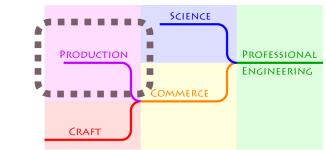
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Figure 2. From Selig: Documentation for service and users. Originally due to Constantine.

Production techniques

Systematic software development methods bring order and predictability to projects via structure and project management (1970-1990s)

- Structured programming
- Waterfall models
- Incremental and iterative development
- Cost/schedule estimation
- Process maturity
- Extreme, agile processes



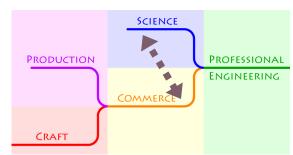
Commerce drives science

Science is often stimulated by problems in commercial practice

- safety-critical tasks \rightarrow safety analysis
- large systems
- concurrency
- many versions
- huge data sets

adaptive systems

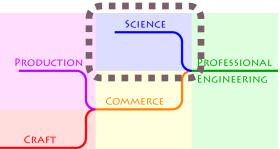
- → architectural patterns
- → parallel logics & languages
- large state spaces \rightarrow model checking
 - ➔ program families, inheritance
 - → MapReduce scalability
 - → MAPE model



Codified knowledge

Data structures, algorithms Programming languages and semantics Verification and model checking Objects and abstract data types Static and dynamic analysis Software architectures Model-based engineering Pattern languages Computability

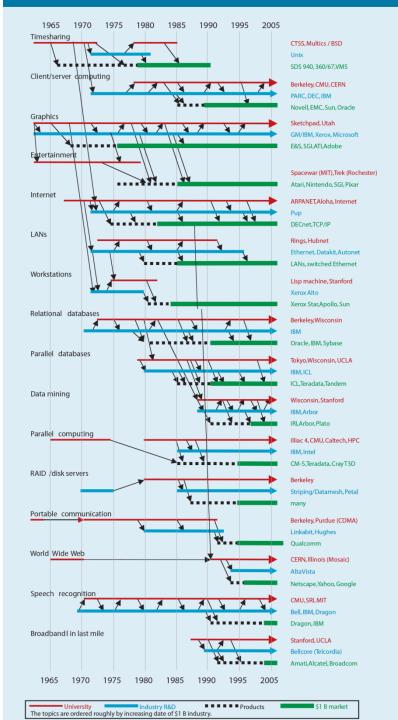


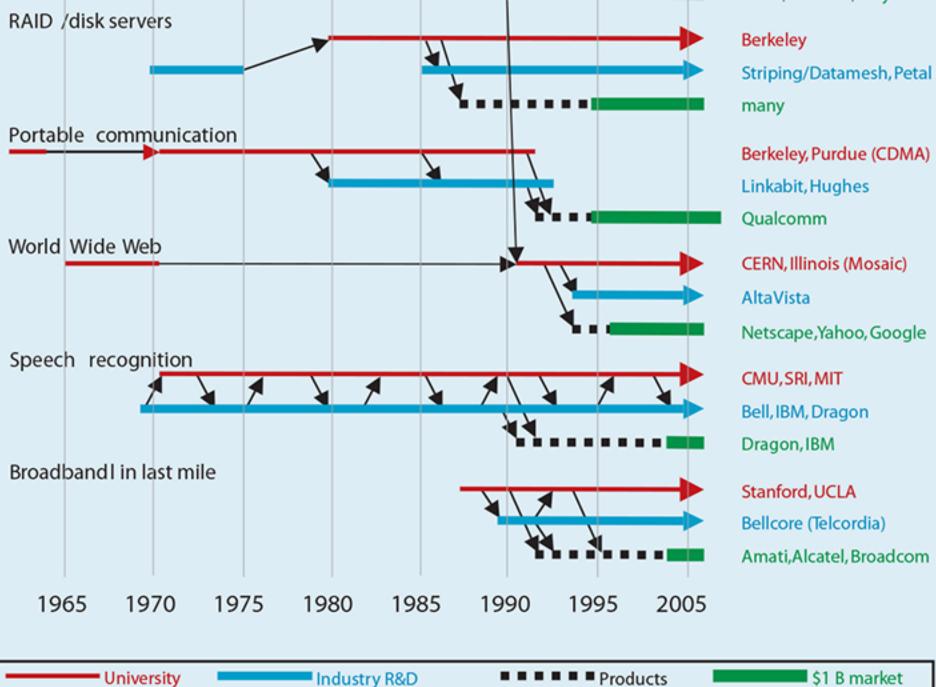


Research feeds practice

Research and development stimulates creation of innovative ideas and industries.

> E. Lazowska. Viewpoint. CACM Aug 2008

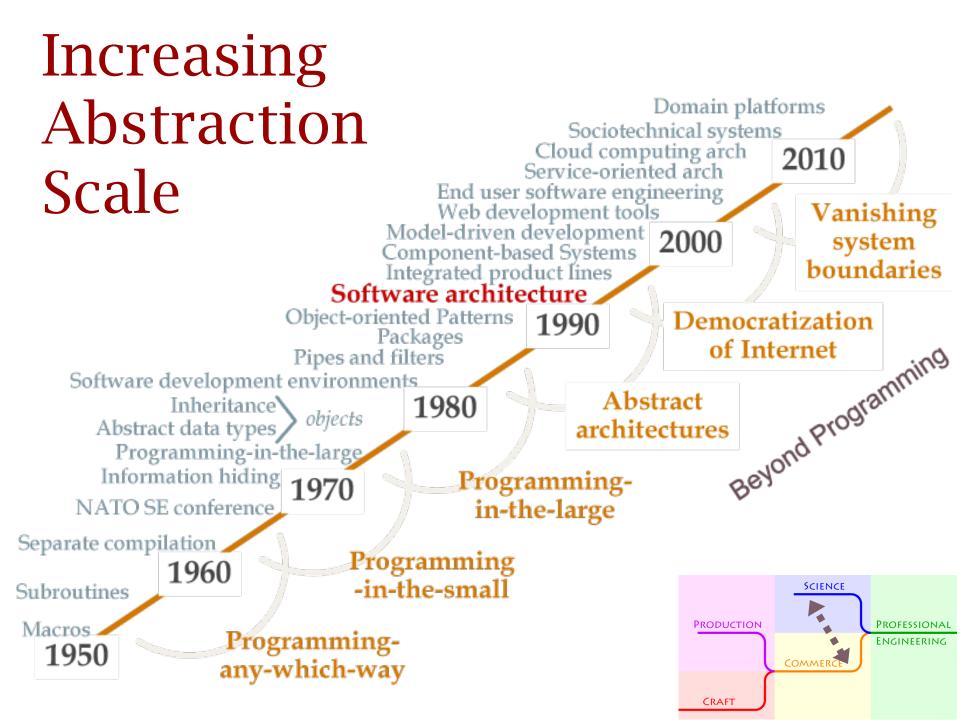




The topics are ordered roughly by increasing date of \$1 B industry.

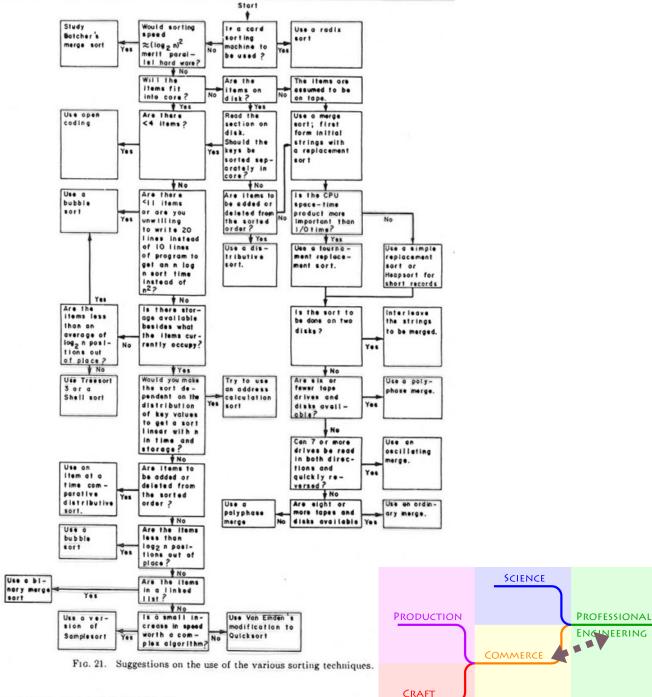
Products

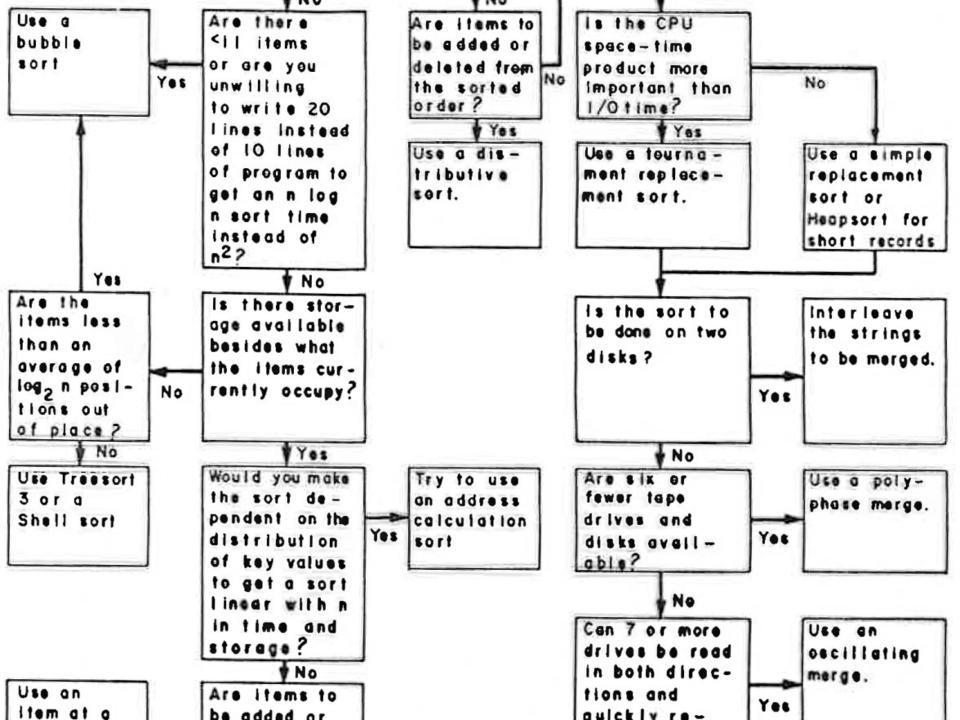
\$1 B market



Design guidance

Choosing among algorithms based on the problem setting





Software Architecture

Software architecture ...

- ... is principled understanding of the large-scale structure of software systems as collections of interacting elements
- ... emerged 1990s from informal roots
- ... codifies a vocabulary for software system structures based on types of components and connectors
- ... provides guidance for explicit design choices bridging requirements to code

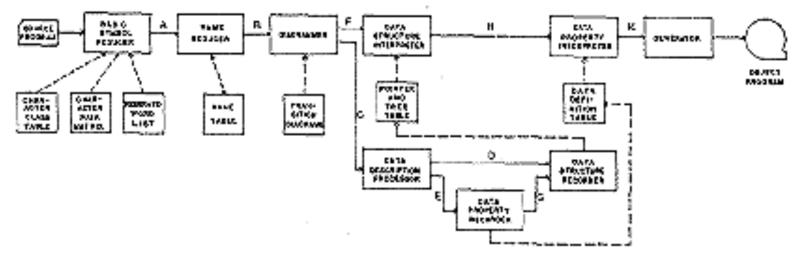
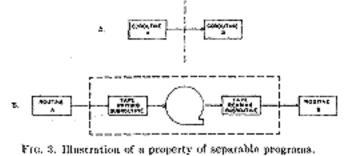
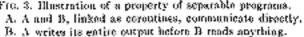


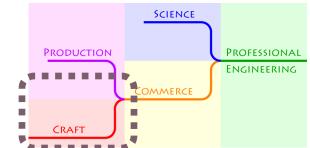
FIG. 4. COBOL Compiler Organization

with a program transformation





M. Conway: Design of a Separable Transition-diagram Compiler, CACM Jul 1963





USER PROGRAM

I/O MANAGEMENT

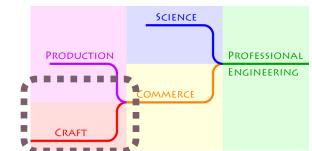
OPERATOR/PROCESS COMMUNICATION

MEMORY (MAIN/SECONDARY) MANAGEMENT

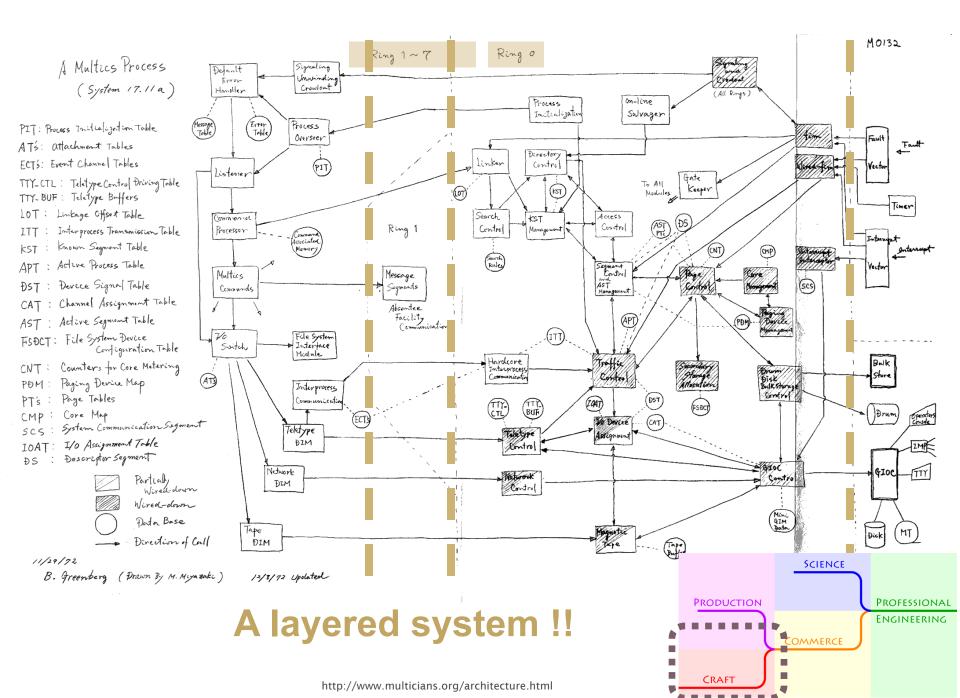
PROCESSOR ALLOCATION+MULYIPROGRAMMING

HARDWARE

LAYERED SYSTEM (THE System, Dijkstra)



E.W. Dijkstra, The Structure of the "THE" Multiprogramming System. CACM May 1968



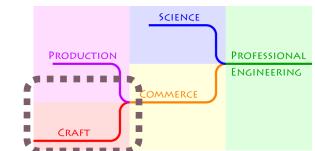
Craft practice

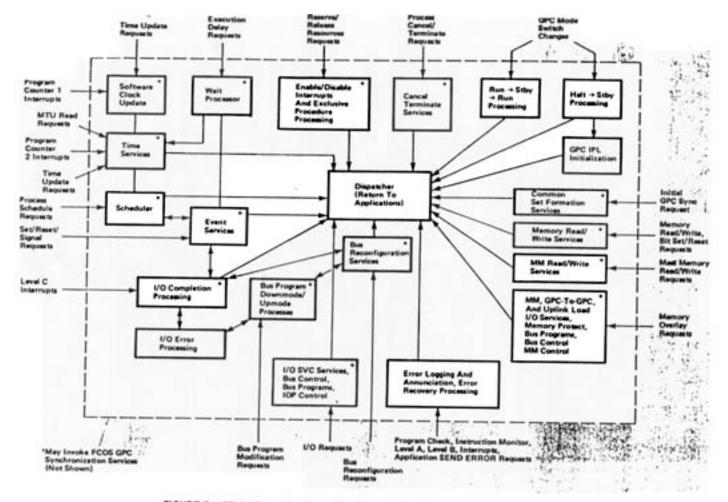
Software has always had structure

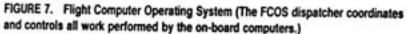
- Informal vocabulary
 - Objects, pipes/filters, interpreters, repositories ...
- Intuitions and folklore about fitness to task

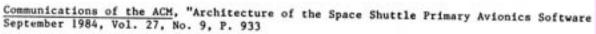
Ancient examples (since NATO69) :

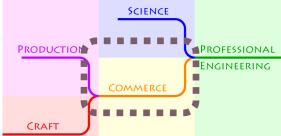
- Software bundled with hardware
- Compilers, layered operating systems
- Databases for accounting







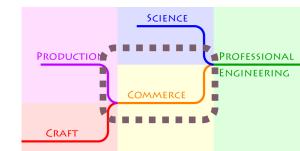


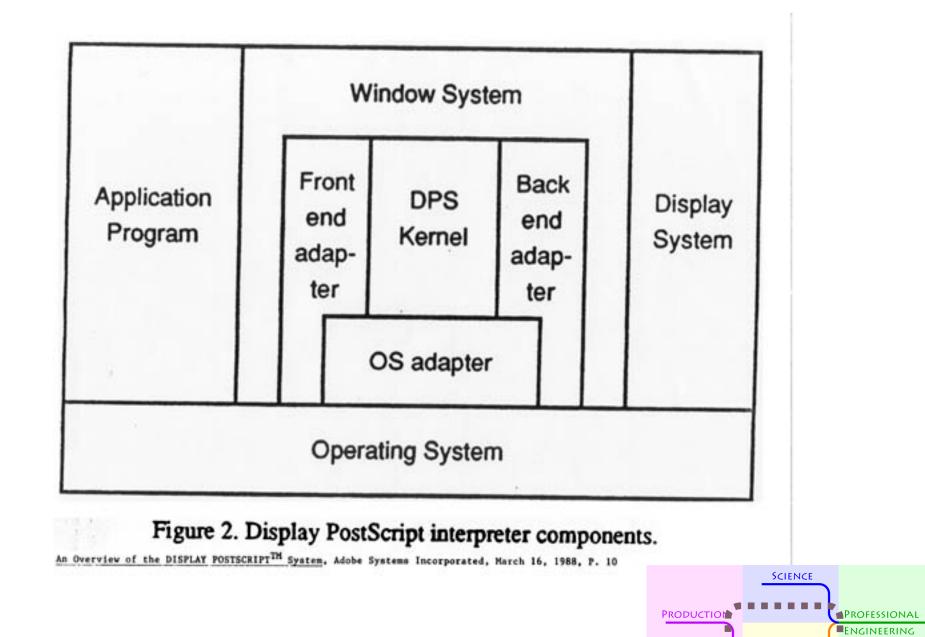


Client Layer*	
Access domain management Buffering and record-level I/O Transaction coordination	
Agent Layer	
Implementation of standard server interface Logger, agent, and instance tasks	
Helix Directories	
Path name to FID mapping Single-file (database) update by one task Procedural interface for queries	
Object (FID directory)	
Identification and capability access (via FIDs) FID to tree-root mapping; table of (FID,root,ref_count) Existence and deletion (reference counts) Concurrency control (file interlocking)	
Secure Tree	
Basic crash-resistant file structure Conditional commit Provision of secure array of blocks	
System	
Commit and restart authority Disk space allocation Commit domains	
Cache	
Caching and performance optimization Commit support (flush) Frame allocation (to domains) Optional disk shadowing	
Canonical Disk	
Physical disk access	
Also called client Helix.	
ure 2. Abstraction layering.	

IEEE Software, "Helix: The architecture of the XMS Distributed File System, Marek Fridrich and William Older, May 1985, Vol. 2, No. 3, P. 23

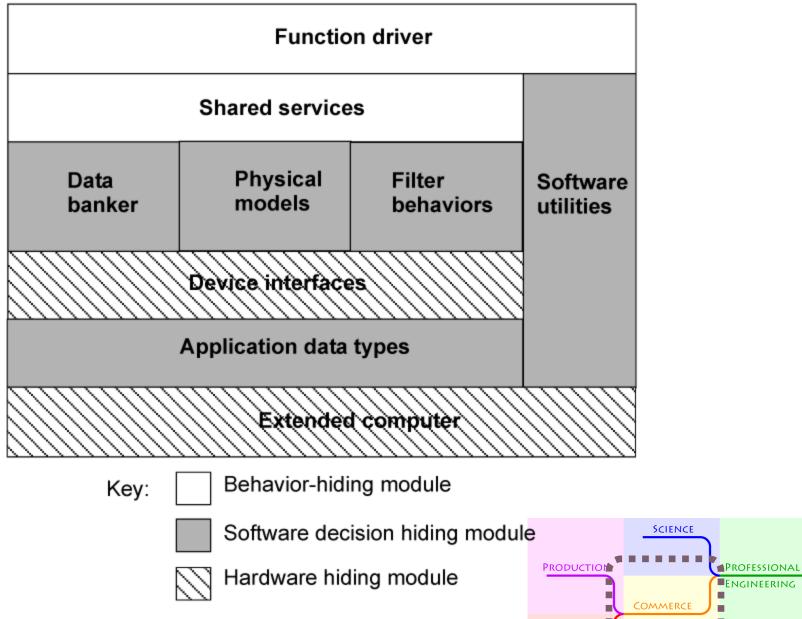
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COMMERCE

CRAFT



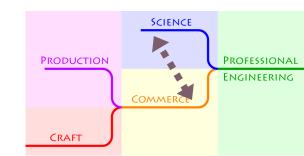


Commerce stimulates science

uncertainty about quality, performance, \rightarrow support software changeability, etc

ad hoc structure, multiple versions, interoperability issues, design drift models to

- metrics
- styles /patterns \rightarrow for software architecture



Sample idioms / styles / patterns

layers

o virtual machines <hierarchy of abstractions>

- client-server systems <decomposition of function>
- data flow

batch sequential <indep. programs, batch data>
 pipes and filters <transducers, data streams>

interacting processes

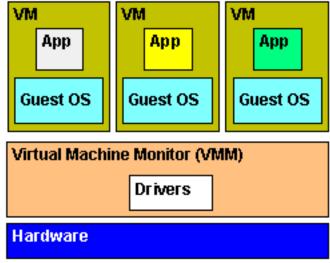
- o communicating processes <processes, messages>
- o event systems <processes, implicit invocation>

Explanations for practitioners

N-Tier architecture

Non-Virtualized Computer App App App Operating System Drivers Hardware

Virtualized Computer

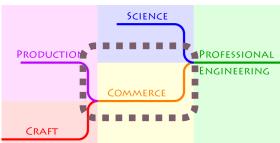


http://www.pcmag.com/encyclopedia/term/53927/virtual-machine

Virtual machine



http://www.codeproject.com/Articles/430014/N-Tier-Architecture-and-Tips



Commercial practice

1970s: batch processing

modules and procedure calls, Cobol

1980s: informal "architecture" in papers

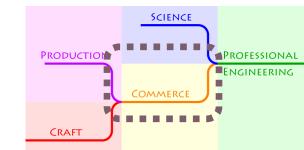
o colloquial use of architectural terms

1990s: early structure

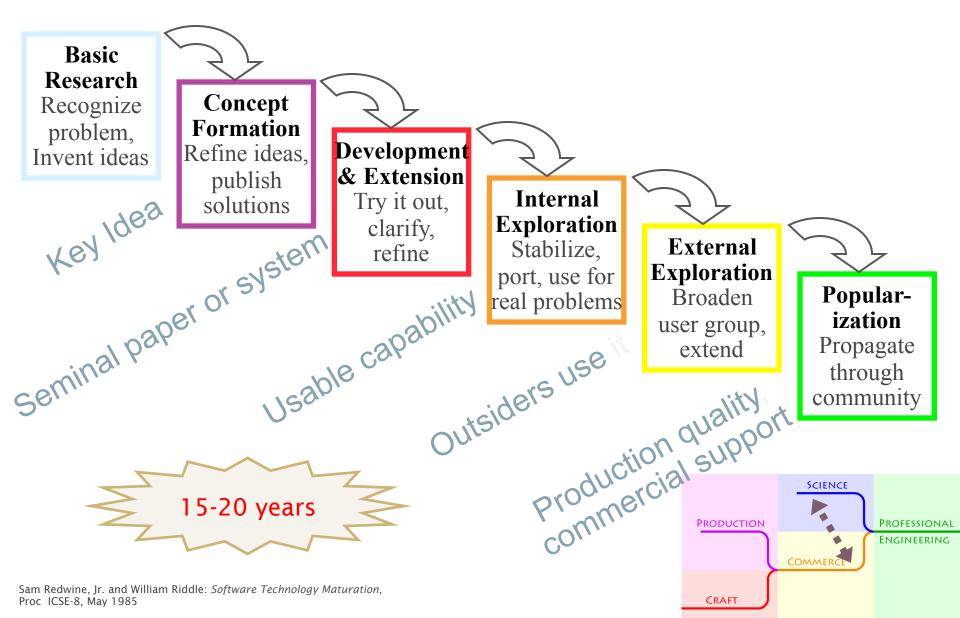
software product lines

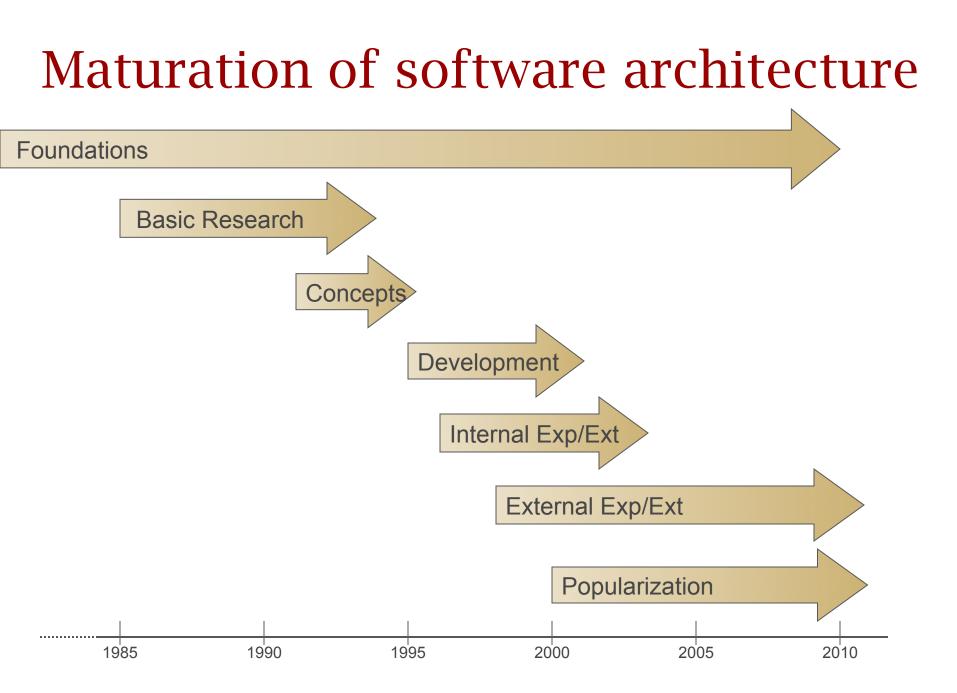
2000s: architecture research enters practice

- company-specific overall architectures
- o frameworks, UML
- o objects everywhere



Maturation of scientific ideas

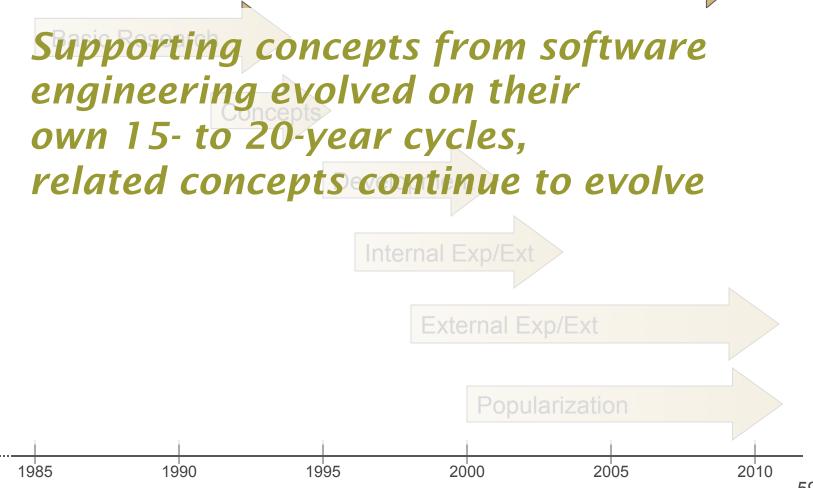




Garlan and Shaw. Software architecture: reflections on an evolving discipline. ESEC/FSE keynote 2011

Foundations



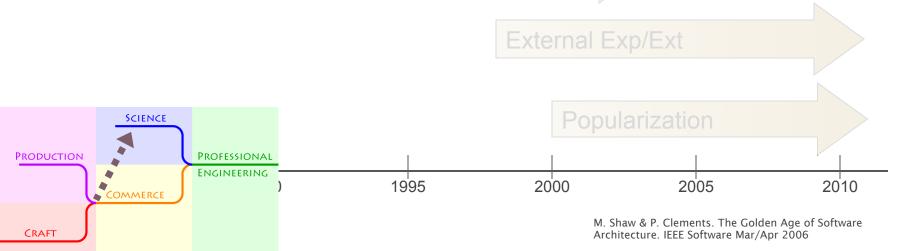


Basic research, 1985-1993

Foundations

Basic Research

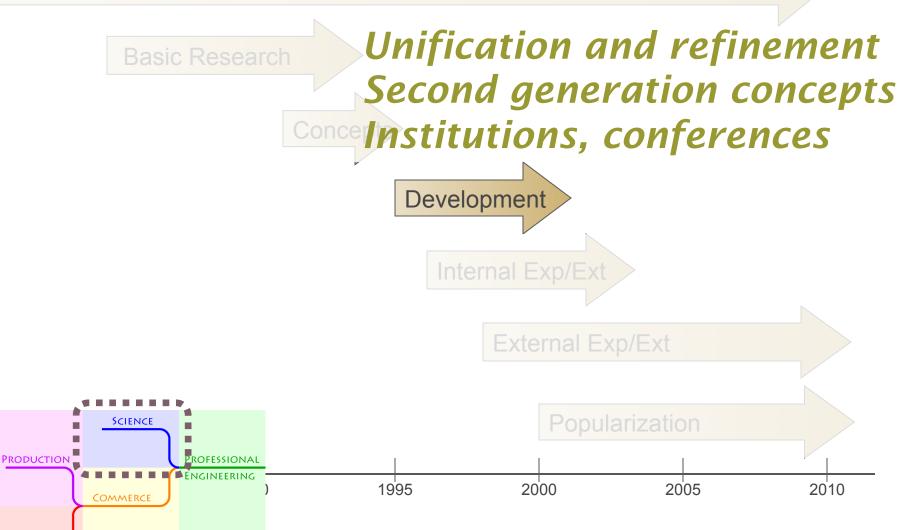
Basic descriptive models: Product lines for specific domains Catalogs of common idioms Connectors as well as components



Concept formation 1992-1996 Concepts Elaboration of basic models Languages and formalizations **Taxonomies of architectural patterns** Workshops and books SCIENCE ROFESSIONAL PRODUCTION ÉNGINEERING 1995 2000 2005 2010 COMMERCE

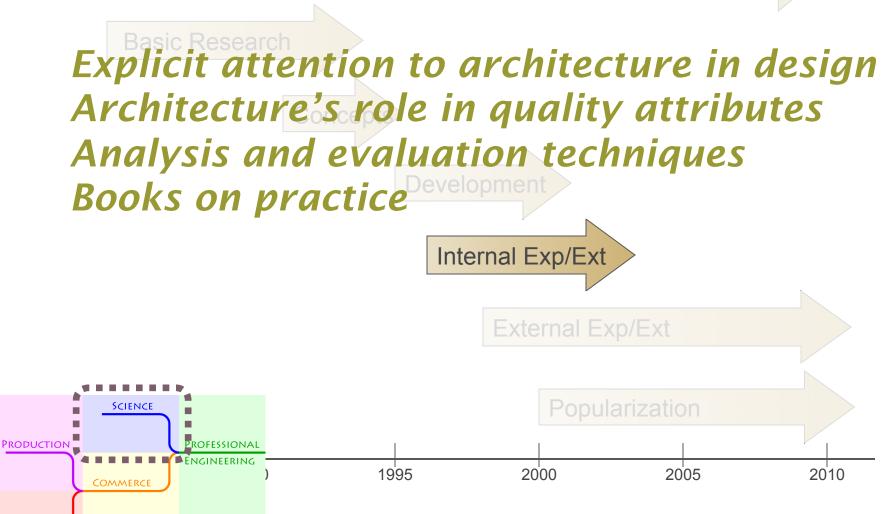
Development & extension: 1995-2000

Foundations



Internal exploration: 1996-2003

Foundations

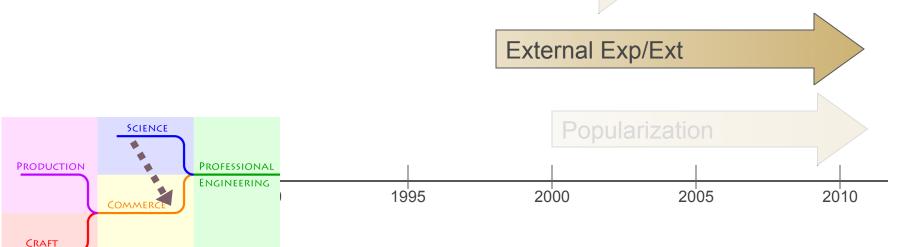


External exploration: 1998-present

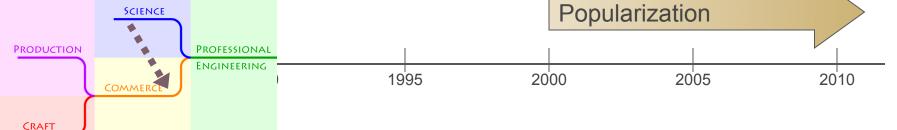
Foundations

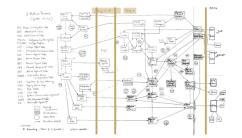
Basic Research

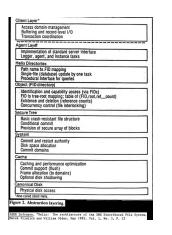
Technologies useful beyond *development group Tools and frameworks* **Company-specific architectures**

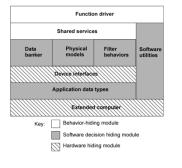


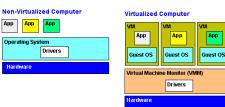
Popularization: 2000-present Production-quality, supported, commercialized technology, standards Education, professional organizations Architect as senior technical leader









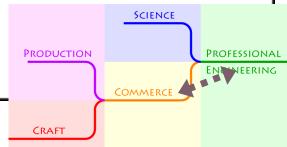


App

AN K64 PROCESSOR IS SCREAMING ALONG AT BILLIONS OF CYCLES PER SECOND TO RUN THE XNU KERNEL, WHICH IS FRANTICALLY WORKING THROUGH ALL THE POSIX-SPECIFIED ABSTRACTION TO CREATE THE DARWIN SYSTEM UNDERLYING WHICH IN TURN IS STRAINING ITSELF TO RUN FIREFOX AND IT'S GECKO RENDERER, WHICH CREATES A FLASH OBJECT WHICH RENDERS DOZENS OF VIDEO FRAMES EVERY SECOND

> BECAUSE I WANTED TO SEE A CAT JUMP INTO A BOX AND FALL OVER.

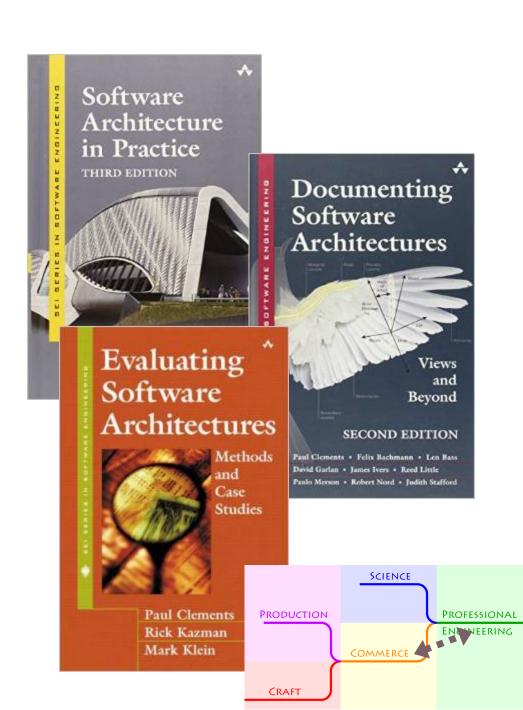




http://xkcd.com/676/

Systematically Organized Knowledge

SEI Series organizes knowledge about architecture and its analysis



Architectural styles and reasoning

Style class	Characteristic	Reasoning	
Data flow	Styles dominated by motion of data through the system, no "upstream" content control by recipient	Functional compos- ition, latency	
Closed loop control	Styles that adjust performance to achieve target	Control theory	
Call-and- return	Styles dominated by order of computation, usually with single thread of control	Hierarchy (local reasoning)	
Interacting processes	Styles dominated by communication patterns amorg independent, usually concurrent, processes	Nondeterminism	
Data sharing styles	Styles dominated by direct sharing of data among components	Representation	
Data-centered repositories	Styles dominated by a complex central data store, manipulated by independent computations	ACID properties, transaction rates, data integrity	
Hierarchical	Styles dominated by reduced coupling, with resulting partition of the system into subsystems with limited interaction	Levels of service	
Shaw Clements Toward Boxology JSAW-2 1996			

Shaw, Clements. Toward Boxology. ISAW-2, 1996.

Rules of thumb on *data flow*

If your problem is decomposed into sequential stages, consider *batch sequential* or *pipeline* architectures.

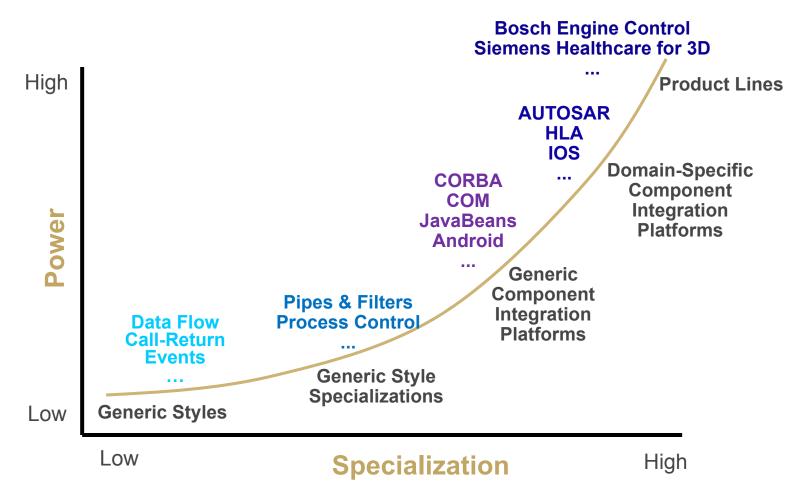
If each stage is incremental, so that later stages can begin before earlier stages finish, consider a *pipeline* architecture. But avoid if there is a lot of concurrent access to shared data.

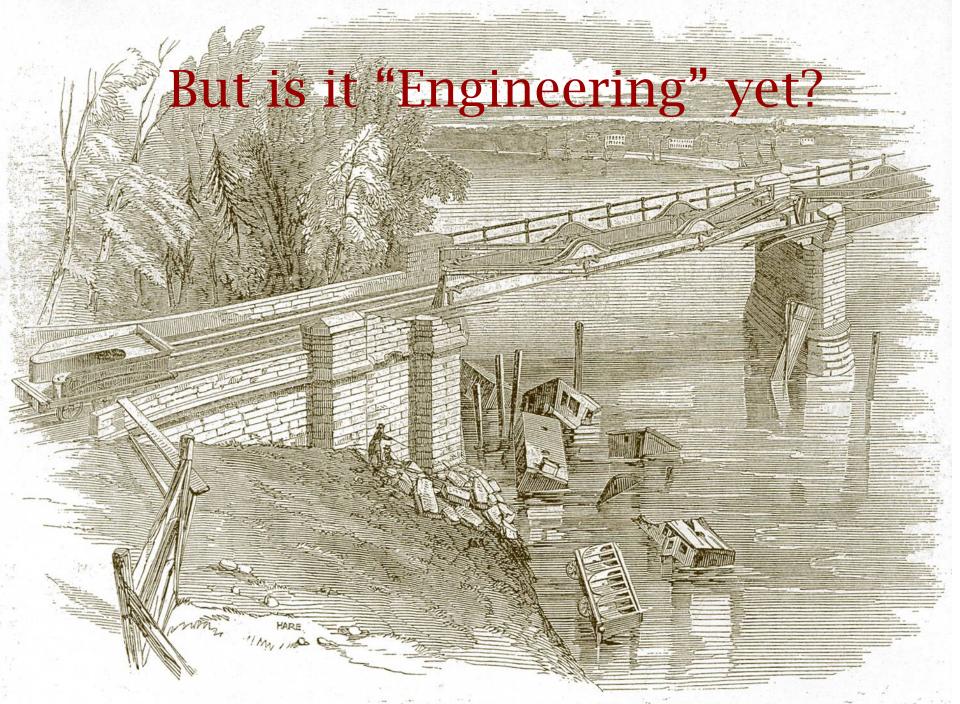
If your problem involves transformations on continuous streams of data (or on very long streams), consider a *pipeline* architecture.

However, if your problem involves passing rich data representations, avoid pipelines restricted to ASCII.

If your system involves controlling continuing action, is embedded in a physical system, and is subject to unpredictable external perturbation so that preset algorithms go awry, consider *closed loop* architectures.

Generality-power trades Styles, Platforms, and Product Lines





CENE OF THE LATE RAILWAY ACCIDENT, AT CHESTER .- DILAPIDATED SPAN OF THE DEE BRIDGE.

Illustrated London News, 1847

But is it "Engineering" yet?

"Engineering" is associated with a level of assurance that protects the public health, safety, and welfare.

Consider, though . . .

Toyota unexpected acceleration
Many data breaches (retail, government, ...)
Samsung Galaxy S5, S6 keyboard exploit
HealthCare.gov rollout
Sony cyberattack
TurboTax vulnerability

Toyota unintended acceleration

- Throttle stuck open, driver couldn't stop car
 - $_{\odot}$ Hundreds died/injured in 2002-2010 models
 - $_{\odot}$ Toyota denied claims but settled for \$1.6++ Billion
- Electronic Throttle Control System (ETCS)
 - \circ wide open throttle \rightarrow brakes won't stop car
 - o single-bit failure could kill critical subtask

Software didn't follow known good practices

- o watchdog didn't detect major task failure
- cyclomatic complexity often over 50
- \circ poor coding practice, ~10,000 global variables
- $_{\odot}\,$ recursion could cause uncaught stack overflow
- poor development/testing process compliance

Identity Theft Resource Center IDT911

itrc

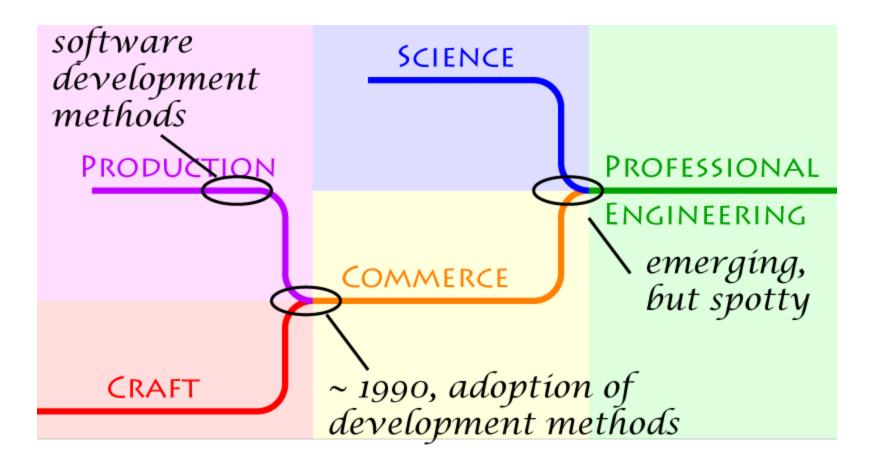


2014 Data Breach Category Summary

How is this report produced? What are the rules? See last page of report for details.	Report Date: 1/5/2015		Page 1 of 1	
Totals for Category: Banking/Credit/Financial	# of Breach	es: 43	# of Records:	1,198,492
	% of Breach	es: 5.5%	%of Records:	1.4%
Totals for Category: Business	# of Breach	es: 258	# of Records:	68,237,914
	% of Breach	es: 33.0	%of Records:	79.7%
Totals for Category: Educational	# of Breach	es: 57	# of Records:	1,247,812
	% of Breach	es: 7.3%	%of Records:	1.5%
Totals for Category: Government/Military	# of Breach	es: 92	# of Records:	6,649,319
	% of Breach	es: 11.7	%of Records:	7.8%
Totals for Category: Medical/Healthcare	# of Breach	es: 333	# of Records:	8,277,991
	% of Breach	es: 42.5	%of Records:	9.7%
Totals for All Categories:	# of Breach	es: 783	# of Records:	85,611,528
	% of Breach	es: 100.0	%of Records:	100.0%
2014 Breaches Identified by the ITRC as of:	1/5/2015	Tota	I Breaches:	783
http://www.idtheftcenter.org/		Record	ls Exposed: 85	,611,528

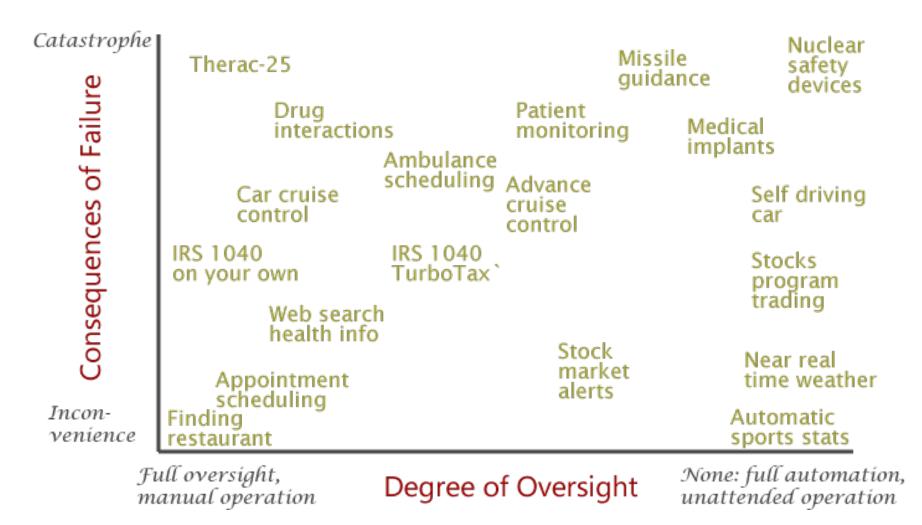
Characteristics of engineering

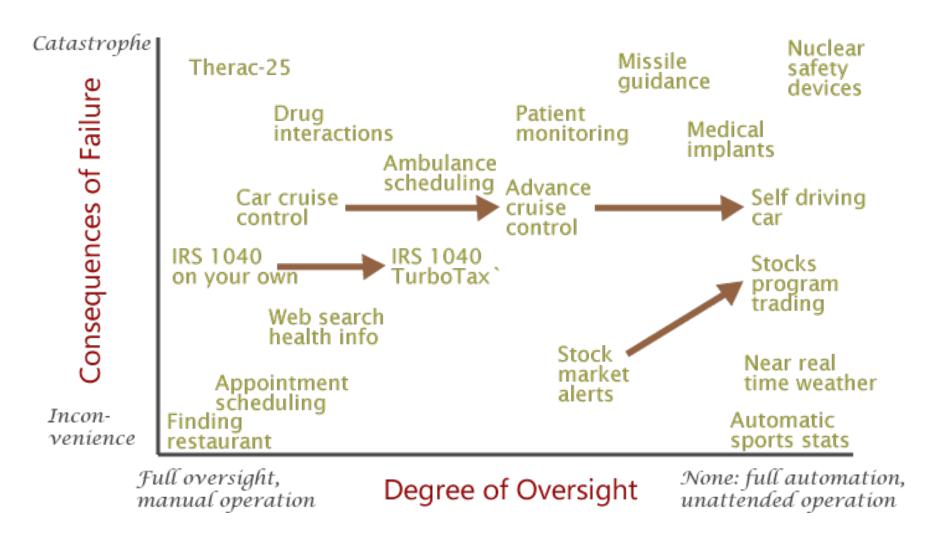
- imited time, knowledge, and resources force decisions on tradeoffs
 - best-codified knowledge, preferentially
 science, shapes design decisions
- reference materials make knowledge and experience available
- (analysis of design predicts properties of implementation

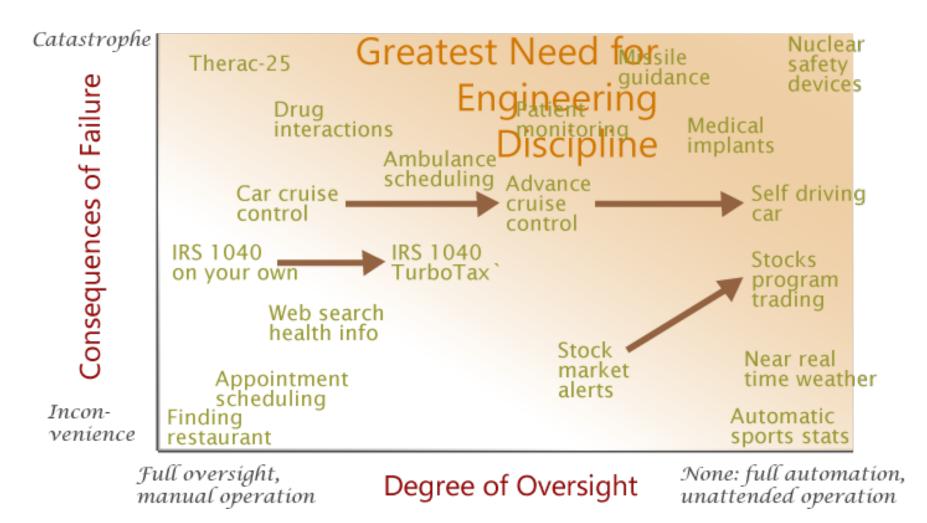


Want to be part of this? http://isri.cmu.edu/education/

Making Progress







Adapting to evolving technology

- Technology outruns traditional manuals

 Understand how search supplants indexing
 Analog of MapReduce for documentation?
- Agility, "perpetual beta", and evolution
 - Exploit power end of generality tradeoff, embedding knowledge in task-specific tools
- Scaling cost to consequence, predictably
 - High stakes applications have rigorous engineering, mashups are fine for throwaways – but where is middle ground?
 - How do we bring codified knowledge to design? Exhortation won't work

Civilize the electronic frontier

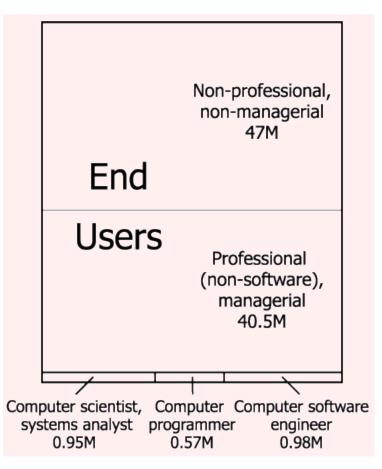
Infrastructure and amenities

Civil order, good manners, rule of law

- Empowerment of citizens to manage their own affairs
- Clarity on personal security/responsibility

This requires widespread understanding of the technology and shared expectations about its use

There are *lots* of casual developers



Estimated counts in American workplace

.

Education

Self-taught	41.8%
BS in CS (or related)	37.7%
On-the-job training	36.7%
MS in CS(or related)	18.4%
Online class	17.8%
Some univ, no degree	16.7%
Industry certification	6.1%
Other	4.3%
Boot-camp	3.5%
PhD in CS(or related)	2.2%
Mentorship program	1.0%

"Professional and enthusiast programmers" (international)

Demographics of US Internet users

Overall	Total adults Women Men	87% 87 86
Age	18-29 30-49 50-64 65+	97% 93 88 57
Geography	urban suburban rural	88% 87 83
Education	<= high school some college college +	76% 91 97

Millennials Ages 18-33	Gen X Ages 34-45	Younger Boomers Ages 46-55	Older Boomers Ages 56-64	Silent Generation Ages 65-73	G.I. Generation Age 74+
Email	Email	Email	Email	Email	Email
Search	Search	Search	Search	Search	Search
Health info	Health info	Health info	Health info	Health info	Health info
Social network sites	Get news	Get news	Get news	Get news	Buy a product
Watch video	Govt website	Govt website	Govt website	Travel reservations	Get news
Get news	Travel reservations	Travel reservations	Buy a product	Buy a product	Travel reservations
Buy a product	Watch video	Buy a product	Travel reservations	Govt website	Govt website
IM	Buy a product	Watch video	Bank online	Watch video	Bank online
Listen to music	Social network sites	Bank online	Watch video	Financial info	Financial info
Travel reservations	Bank online	Social network sites	Social network sites	Bank online	Religious info
Online classifieds	Online classifieds	Online classifieds	Online classifieds	Rate things	Watch video
Bank online	Listen to music	Listen to music	Financial info	Social network sites	Play games
Govt website	IM	Financial info	Rate things	Online classifieds	Online classifieds
Play games	Play games	IM	Listen to music	IM	Social network sites
Read blogs	Financial info	Religious info	Religious info	Religious info	Rate things
Financial info	Religious info	Rate things	IM	Play games	Read blogs
Rate things	Read blogs	Read blogs	Play games	Listen to music	Donate to charity
Religious info	Rate things	Play games	Read blogs	Read blogs	Listen to music
Online auction	Online auction	Online auction	Online auction	Donate to charity	Podcasts
Podcasts	Donate to charity	Donate to charity	Donate to charity	Online auction	Online auction
Donate to charity	Podcasts	Podcasts	Podcasts	Podcasts	Blog
Blog	Blog	Blog	Blog	Blog	IM
Virtual worlds	Virtual worlds	Virtual worlds	Virtual worlds	Virtual worlds	Virtual worlds

Generations Online 2010

This chart shows the popularity of internet activities among internet users in each generation

90-100%	40-49%
80-89%	30-39%
70-79%	20-29%
60-69%	10-19%
50-59%	0-9%

Key: % of internet users in each generation who engage in this online activity



Source: Pew Internet surveys.

Email	Email	Email	Email	Email	Email
Search	Search	Search	Search	Search	Search
Health info					
oocial network	Get news	Get news	Get news	Get news	Buy a product
Watch video	Govt website	Govt website	Govt website	Travel reservations	Get news
Get news	Travel reservations	Travel reservations	Buy a product	Buy a product	Travel reservations
Buy a product	Watch video	Buy a product	Travel reservations	Govt website	Govt website
IM	Buy a product	Watch video	Bank online	Watch video	Bank online
Listen to music	social network	Bank online	Watch video	Financial info	Financial info
Travel reservations	Bank online	Social network sites	Social network sites	Bank online	Religious info
Online classifieds	Online classifieds	Online classifieds	Online classifieds	Rate things	Watch video
Bank online	Listen to music	Listen to music	Financial info	Social network sites	Play games
Govt website	IM	Financial info	Rate things	Online classifieds	Online classifieds
Play games	Play games	IM	Listen to music	IM	social network sites
Read blogs	Financial info	Religious info	Religious info	Religious info	Rate things
Financial info	Religious info	Rate things	IM	Play games	Read blogs
					Donate to

Civilizing the electronic frontier

- Policy, requiring technology
 - Balance anonymity and accountability
 - Balance security and privacy
 - $_{\odot}$ Balance individual and corporate objectives
 - Address product liability

Technology

- Apply known best practices and designs
- Address new forms of information access (search) and software creation (independent parts)

User models

 Improve the explanations and intuitions we provide the public at large



Recapitulation

